
Table of Contents

Preface	xi
Introduction	xv
QSE 1 – Organization	1
Key Concepts	1
Key Terms	1
Examples of Objective Evidence	2
1. Organization	3
1.0 Organization	3
1.1 Executive Management	3
1.2 Quality System	4
1.3 Policies, Processes, and Procedures	5
1.4 Risk Assessment	5
1.5 Operational Continuity	5
1.6 Emergency Preparedness	5
1.7 Communication of Concerns	6
1.8 Customer Focus	6
Excerpt of Reference Standard 6.2.9A Relevant to Organization	6
QSE 2 – Resources	7
Key Concepts	7
Key Terms	7
Examples of Objective Evidence	7
2. Resources	8
2.0 Resources	8
2.1 Human Resources	8
Excerpt of Reference Standard 6.2.9A Relevant to Resources	10

QSE 3 – Equipment 11

 Key Concepts 11

 Key Terms 11

 Examples of Objective Evidence 12

3. Equipment 13

 3.0 Equipment 13

 3.1 Equipment Specifications 13

 3.2 Qualification of Equipment 13

 3.3 Use of Equipment 13

 3.4 Unique Identification of Equipment 13

 3.5 Equipment Monitoring and Maintenance 14

 3.6 Equipment Traceability 16

 3.7 Information Systems 16

 3.8 Alarm Systems 18

 Excerpt of Reference Standard 6.2.9A Relevant
 to Equipment 19

QSE 4 – Suppliers and Customers 20

 Key Concepts 20

 Key Terms 20

 Examples of Objective Evidence 21

4. Suppliers and Customers 22

 4.0 Suppliers and Customers 22

 4.1 Supplier Qualification 22

 4.2 Agreements 22

 4.3 Notification 25

 Excerpt of Reference Standard 6.2.9A Relevant
 to Suppliers and Customers 25

QSE 5 – Process Control 26

 Key Concepts 26

 Key Terms 26

 Examples of Objective Evidence 27

5.	Process Control	28
5.0	Process Control	28
5.1	General Elements	28
5.2	CGT Product Management	31
5.3	Methods and Operational Controls	32
5.4	CGT Product Identification and Traceability	34
5.5	Labels, Labeling, and Labeling Controls	34
5.6	Packaging, Transport, and Shipping	35
5.7	Storage, Preservation, and Dispensing	36
5.8	Verification	38
5.9	Dispensing	38
5.10	Disposal	38
	Excerpt of Reference Standard 6.2.9A Relevant to Process Control	39
QSE 6 – Documents and Records.		41
	Key Concepts	41
	Key Terms	41
	Examples of Objective Evidence	42
6.	Documents and Records	43
6.0	Documents and Records	43
6.1	Document Control	43
6.2	Record Control	45
6.3	Electronic Records	48
	Excerpt of Reference Standard 6.2.9A Relevant to Documents and Records	50
	6.2.9A Retention of Records	51
QSE 7 – Deviations, Nonconformances, and CGT-Product- Related Unanticipated Events		56
	Key Concepts	56
	Key Terms	56
	Examples of Objective Evidence	57

7. Deviations, Nonconformances, and CGT-Product-Related Unanticipated Events 58

7.0 Deviations, Nonconformances, and CGT-Product-Related Unanticipated Events 58

7.1 Deviations 58

7.2 Nonconformances 59

7.3 CGT-Product-Related Unanticipated Events..... 61

Excerpt of Reference Standard 6.2.9A Relevant to Deviations, Nonconformances, and CGT-Product-Related Unanticipated Events 62

QSE 8 – Internal and External Assessments 63

Key Concepts 63

Key Terms 63

Examples of Objective Evidence 64

8. Internal and External Assessments 65

8.0 Internal and External Assessments 65

8.1 Internal Assessments 65

8.2 External Assessments 65

8.3 Management of Assessment Results 65

8.4 Quality Monitoring 65

Excerpt of Reference Standard 6.2.9A Relevant to Internal and External Assessments 66

QSE 9 – Process Improvement 67

Key Concepts 67

Key Terms 67

Examples of Objective Evidence 68

9. Process Improvement 69

9.0 Process Improvement 69

9.1 Corrective Action 69

9.2 Preventive Action 69

9.3	Performance Improvement	70
	Excerpt of Reference Standard 6.2.9A Relevant to Process Improvement	70
QSE 10 – Facilities and Safety		71
	Key Concepts	71
	Key Terms	71
	Examples of Objective Evidence	71
10. Facilities and Safety		72
10.0	Facilities and Safety	72
10.1	Safe Environment	72
10.2	Biological, Chemical, and Radiation Safety	73
10.3	Handling and Disposing of Biological Materials	73
10.4	General Operational Controls	73
	Excerpt of Reference Standard 6.2.9A Relevant to Facilities and Safety	73
Glossary		75
Index		89